

|   |                               |                       |
|---|-------------------------------|-----------------------|
| <b>DECLARATION FOR UTILITY OR<br/>DESIGN<br/>PATENT APPLICATION<br/>(37 CFR 1.63)</b><br><br><input checked="" type="checkbox"/> Declaration Submitted with Initial Filing      OR <input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required) | <b>Attorney Docket Number</b> | P-03180               |
|   | <b>First Named Inventor</b>   | Wallace C. Turbeville |
|   | <b>COMPLETE IF KNOWN</b>      |                       |
|   | <b>Application Number</b>     | /                     |
|   | <b>Filing Date</b>            |                       |
|   | <b>Art Unit</b>               |                       |
|   | <b>Examiner Name</b>          |                       |

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RISK MEASUREMENT, MANAGEMENT AND TRADE DECISIONING SYSTEM

(Title of the Invention)

the specification of which

☒ is attached hereto

OR

☐ was filed on (MM/DD/YYYY)  as United States Application Number or PCT International

Application Number  and was amended on (MM/DD/YYYY)  (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign Application Number(s) | Country | Foreign Filing Date (MM/DD/YYYY) | Priority Not Claimed     | Certified Copy Attached? |                          |
|-------------------------------------|---------|----------------------------------|--------------------------|--------------------------|--------------------------|
|                                     |         |                                  |                          | YES                      | NO                       |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |
|                                     |         |                                  | <input type="checkbox"/> | <input type="checkbox"/> | <input type="checkbox"/> |

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

[Page 1 of 2]

PTO/BB01 (10-01)

Approved for use through 10/31/2002. OMB 0601-0032

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## DECLARATION — Utility or Design Patent Application

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| Direct all correspondence to: <input type="checkbox"/>  |  | Customer Number or Bar Code Label <input type="text"/>                                   |   | OR <input checked="" type="checkbox"/> Correspondence address below |  |
| Name <b>Peter D. Aufrichtig, Esq.</b>   |  |  |   |   |  |
| Address <b>AUFRICTIG STEIN &amp; AUFRICTIG, P.C.</b>  |  |  |   |   |  |
| <b>300 East 42nd Street, 5th Floor</b>  |  |  |   |   |  |
| City <b>New York</b>  |  | State <b>NY</b>  |   | ZIP <b>10017</b>  |  |
| Country <b>US</b>   |  | Telephone <b>(212) 557-5040</b>  |   | Fax <b>(212) 557-5069</b>   |  |
| I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |  |  |   |   |  |
| NAME OF SOLE OR FIRST INVENTOR:   |  | <input type="checkbox"/> A petition has been filed for this unsigned inventor            |   |   |  |
| Given Name <b>Wallace C.</b><br>(first and middle (if any))   |  |  | Family Name <b>Turbeville</b><br>or Surname |   |  |
| Inventor's Signature <i>Wallace C. Turbeville</i>   |  |  | Date <b>8/22/03</b>                         |   |  |
| Residence: City <b>New York</b>   |  | State <b>NY</b>  |   | Country <b>US</b>   |  |
| Citizenship <b>US</b>   |  |  |   |   |  |
| Mailing Address <b>105 Hudson Street, Apartment 10S</b>   |  |  |   |   |  |
| City <b>New York</b>  |  | State <b>NY</b>  |   | ZIP <b>10013</b>  |  |
| Country <b>US</b>   |  |  |   |   |  |
| NAME OF SECOND INVENTOR:  |  | <input checked="" type="checkbox"/> A petition has been filed for this unsigned inventor |   |   |  |
| Given Name <b>J. Scott</b><br>(first and middle (if any))   |  |  | Family Name <b>Perry</b><br>or Surname      |   |  |
| Inventor's Signature <i>J. Scott Perry</i>  |  |  | Date <b>8/22/03</b>                         |   |  |
| Residence: City <b>New York</b>   |  | State <b>NY</b>  |   | Country <b>US</b>   |  |
| Citizenship <b>US</b>   |  |  |   |   |  |
| Mailing Address <b>51 West 84th Street, Apartment 5H</b>  |  |  |   |   |  |
| City <b>New York</b>  |  | State <b>NY</b>  |   | ZIP <b>10024</b>  |  |
| Country <b>US</b>   |  |  |   |   |  |
| <input type="checkbox"/> Additional inventors are being named on the _____ supplemental Additional Inventor(s) sheet(s) PTO/BB/02A attached hereto.   |  |  |   |   |  |

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

|                        |                         |
|------------------------|-------------------------|
| Application Number     | New Patent Application  |
| Filing Date            | Concurrently Herewith   |
| First Named Inventor   | Turbeville, Wallace C.  |
| Title                  | RISK MEASUREMENT, et al |
| Group Art Unit         |                         |
| Examiner Name          |                         |
| Attorney Docket Number | P-03180                 |

I hereby appoint:

☐ Practitioners at Customer Number 

OR

☒ Practitioner(s) named below:Place Customer  
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| Name                      | Registration Number |
|---------------------------|---------------------|
| Peter D. Aufrichtig, Esq. | 31,221              |
|                           |                     |
|                           |                     |
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Place Customer  
Number Bar Code  
Label here☒ Firm or  
Individual Name

Peter D. Aufrichtig, Esq.

Address

AUFRICHTIG STEIN &amp; AUFRICHTIG, P.C.

Address

300 East 42nd Street, 5th Floor

City

New York

State

NY

Zip

10017

Country

US

Telephone

(212) 557-5040

Fax

(212) 557-5065

I am the:

☒ Applicant/Inventor.
☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
 Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/06).
**SIGNATURE of Applicant or Assignee of Record**

Name

Wallace C. Turbeville

Signature

*Wallace C. Turbeville*

Date

8/22/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

B) Total of 2 forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/51 (02-01)

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|                        |                         |
|------------------------|-------------------------|
| Application Number     | N w Patent Application  |
| Filing Date            | Concurrently Herewith   |
| First Named Inventor   | Turbeville, Wallace C.  |
| Title                  | RISK MEASUREMENT, et al |
| Group Art Unit         |                         |
| Examiner Name          |                         |
| Attorney Docket Number | P-03180                 |

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☒ Firm or  
Individual Name

Peter D. Aufrichtig, Esq.

Address

AUFRICHTIG STEIN & AUFRICHTIG, P.C.

Address

300 East 42nd Street, 5th Floor

City

New York

State

NY

Zip

10017

Country

US

Telephone

(212) 557-5040

Fax

(212) 557-5065

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.

Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name

J. Scott Perry

Signature

Date

8/22/03

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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